

Retrospective study

An Innovative Technique for Anterior Cervical Surgery in Sub-axial Cervical Fracture Dislocation with incomplete spinal cord injury: A Paradigm Shift in Surgical Approach

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Abstract

Introduction: Cervical spine injury was the most common cause of spinal cord injury worldwide in 2013, accounting for 43.9% to 61.5% of all cases. Prior to injury, the majority of individuals with a cervical spine injury was in their prime years and led an active lifestyle. The aim of the study was to evaluate the safety & efficacy of the proposed innovative technique to reduce all cases of subaxial cervical fracture-dislocation.

Methods: This was a retrospective study and was conducted in the Department of Orthopaedic Surgery during the period from January 2020 to June 2023. In our study, we included 336 patients with subaxial cervical fracture dislocation who underwent ACDF. We routinely used an anterior approach with a left-sided transverse incision for surgery.

Results: The mean age was 34.61 ± 12.37 years with a male predominance. The most common mechanism of injury was RTA (39.29%) and most common level of fracture at C5/C6 was 38.10%. Mean operative time was $70.2 + 19.1$ mins, and mean blood loss 142.72 ± 82.27 ml. The mean NDI improved to 10.7 ± 6.8 , VAS improved to 3.1 ± 1.4 at the final follow-up which showed majority of our patients could return to their daily life activities. Majority (83.04%) of our patients had no complications and dysphagia was 4.17 %. Majority of 236(70.24%) patients had no disability.

Conclusions: In our study, we found that a single anterior approach is a safe and effective procedure for subaxial cervical spine dislocation treatment with satisfactory radiological, neurological and functional outcomes.

Keywords: Cervical spine; Dislocation; Single Anterior technique; Functional outcome; Neurological outcome

Introduction

A cervical spine injury is a dreadful event that can result in disabilities and even death if not handled properly. It occurs in 2-6% of all blunt trauma cases, with 55% having associated spinal cord injury and 10% to 25% deteriorating later [1]. The most prevalent causes are traffic accidents and falls. Age and male gender are risk factors for cervical injury, and instant death may occur in up to 20% of senior patients [2].

Cervical spine injury was the most common cause of spinal cord injury worldwide in 2013, accounting for 43.9% to 61.5% of all cases [3]. Prior to injury, the majority of individuals with a cervical spine injury was in their prime years and led an active lifestyle. Over the past ten years, the fundamental management strategies for cervical spine fractures and

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dislocations have hardly changed. The decision regarding which surgical approach to use for treating cervical facet dislocations varies and is dependent on several variables, including the patient's neurological condition, the presence of traumatic disc herniation, the success of closed reduction, the presence of uni- or bilateral facet dislocations, the presence of vertebral body fractures, and the experience and training of the surgeon [4,5]. Decompression of nerve tissues and stability of the damaged vertebral segment are the main goals of surgical management of injuries caused by trauma to the cervical spine.

This enables the patient to be treated for their injuries more quickly, accelerates rehabilitation, and makes it easier for them to resume their professional duties [6]. Anterior or posterior approach, a combination of both, or phased anterior/posterior/anterior approaches are the surgical technique options for unilateral or bilateral facet injuries [5]. Although cervical spine dislocation can be successfully treated with both anterior and posterior procedures, the anterior approach has gained popularity during the past ten years [7-11]. The anterior approach is generally low-risk and traumatic, with a low rate of complications that can be performed in a supine position.

A further advantage of the anterior approach is the removal of disc pieces that have entered the spinal canal. This approach allows treating just one motion section, which leads to fewer neck issues, and compared to the posterior approach, which requires more than one motion segment to be fused to achieve the same realignment. However, the posterior approach carries risks such as excessive dissection, postoperative pain, blood loss, and issues maintaining safe vital signs in the prone position [5,12-14]. In the meantime, due to subsequent disc degeneration with observed increasing instability, the affected segment develops kyphosis during short-level posterior fixation. Once more, fixation through a combination of approaches is quite difficult. The main drawbacks are a longer recovery period after surgery, more bleeding, slow wound healing, and difficulty in altering posture [15].

Considering all these factors, we have opted to manage all cases of cervical spine dislocation in a simplified manner by a single anterior approach; in this study, we aimed to evaluate the safety and efficacy of the proposed innovative technique to reduce all cases of subaxial cervical fracture-dislocation.

Methodology and Materials

This was a retrospective study and was conducted in the Department of Orthopaedic Surgery of National Institute of Traumatology and Orthopaedic Rehabilitation (NITOR), Dhaka Medical College Hospital (DMCH), Bangladesh Spine and Orthopaedic Hospital (BSOH) Dhaka, Bangladesh during the period from January 2020 to June 2023. In our study, we

took 336 cases with subaxial cervical fracture dislocation (either unilateral or bilateral) based on our inclusion and exclusion criteria.

These are the following criteria to be eligible for the enrollment as our study participants: a) Patients aged between 14-53 years; b) Patients with subaxial cervical fracture dislocation; c) Patients with fracture less than three weeks; d) Patients who underwent anterior cervical discectomy and fusion (ACDF) using a tricortical bone graft & were stabilized by cervical plate & screws; e) Patients who were followed from 3 months to 24 months were included in the study And a) Patients with polytrauma, head injury, multiple fractures & open pathological fractures; b) Patients with ASIA A neurology were excluded from our study.

The patients signed informed written consent forms that detailed the operation and treatment options. The patients were initially resuscitated according to the advanced trauma life support (ATLS) protocol in the emergency department. After initial stabilization with a rigid cervical collar, a thorough history and examination (including information on the patient's gender, age, mechanism of injury, comorbidities, and occupation) were taken. The neurological problems that existed prior to surgery were categorized using the American Spinal Injury Association (ASIA) Impairment Scale. Plain cervical spine X-rays were acquired in each case. In certain situations, a computed tomography (CT) scan and magnetic resonance imaging (MRI) was done afterward. The patient had surgery on the following possible dates. Data such as the length of the procedure and any intraoperative complications were recorded. Patients were mobilized as soon as their conditions allowed, which was usually the following day. For the first three weeks, all patients wore a rigid cervical collar before moving to a gentle collar for the remaining three weeks. Patients were seen in the outpatient department (OPD) every two weeks for the first three months, then monthly for the next three months, and finally every six months. At each follow-up, the visual analog scale (VAS), Neck Disability Index (NDI), and ASIA grading were performed. X-rays and CT scans were performed to determine implant fixation and fusion [15].

Surgical Technique:

Patients who showed a fracture dislocation of sub axial cervical spine underwent ACDF. We routinely used an anterior approach with a left-sided transverse incision for surgery.

- ❖ Positioning, Draping & Marking.
- ❖ Incision & dissection from midline to lateral border of sternocleidomastoid muscle. The platysma muscle is incised along the line of skin incision, developing plane between sternocleidomastoid & strap muscles. Sternocleidomastoid and carotid sheath are retracted

laterally and the trachea, esophagus, and strap retracted medially.

- ❖ Locate damaged disc, Removal of disc, free fragments & osteophytes. The cartilage endplates on the vertebral bones are also removed to reveal the hard cortical bone underneath. Curette all osteophytes & removal of uncovertebral joint in piecemeal by Imm Kerrison rongeur.
- ❖ Casper screws were employed, and a discectomy was performed to achieve local kyphosis per-operatively.
- ❖ In the empty disc space, a small periosteal elevator was inserted into the lower vertebra's posterior border, leveraging the superior vertebra.
- ❖ Then gentle manipulation was done by applying backward and forward pressure to the caudal vertebrae by the periosteal elevator while the cephalad vertebral body was pushed back.
 - Head end assistant: Gradual & longitudinal traction with axial rotation of head
 - Leg end assistant: Stabilize by counter traction Leg end assistant
 - Chief Surgeon: Reduce the dislocated vertebra by using special instrument like periosteum elevator.
- ❖ After the reduction, ACDF was performed.

Statistical Analysis:

All data were recorded systematically in preformed data collection form and quantitative data was expressed as mean and standard deviation and qualitative data was expressed as frequency distribution and percentage. Statistical analysis was performed by using SPSS 21 (Statistical Package for Social Sciences). Probability value <0.05 was considered as level of significance. The study was approved by Ethical Review Committee of Bangladesh Spine and Orthopaedic Hospital (BSOH), Dhaka, Bangladesh (Figure 1).

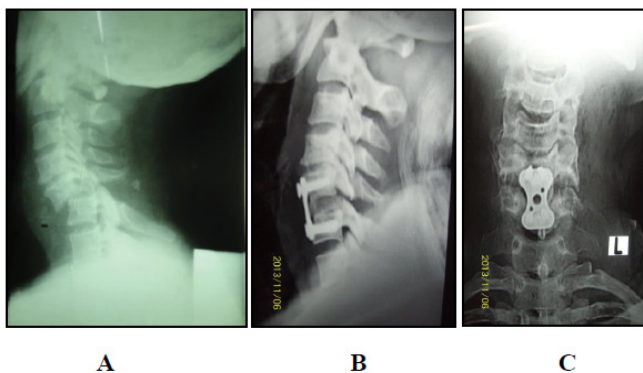


Figure 1: ACDF with anterior cervical plate & screw - A) Preoperative and B) & C) Postoperative

Results

Table 1 shows the baseline and clinical characteristics of our study patients. We found the mean age was 34.61 ± 12.37 5R\$years. The most common mechanism of injury was RTA (39.29%), falls from height (37.50%), and followed by fall while carrying a heavy weight on the head (23.21%). The majority (63.69%) of our patients had bilateral dislocation while 36.31% had unilateral. The most common level of fracture at C5/C6 was 38.10%, followed by C6/C7 was 24.11%, C4/C5 and C3/C4 was 20.83% and 16.96% respectively.

Table 2 shows that functional outcome was assessed using the NDI and the VAS. The mean preoperative NDI was 18.7 ± 9.5, which improved to 10.7 ± 6.8 at the final follow-up, and the mean pre-operative VAS 7.1 ± 2.4 was improved to 3.1 ± 1.4 at the final follow-up with a p-value of <0.05. According to the ASIA, out of 336 patients 100 (29.76%) patients had AISA B, 144 (42.86%) patients had ASIA C, and 92 (27.38%) patients had ASIA D neurology at the preoperative phase. Postoperatively, 13(3.87%) had ASIA B, 30(8.93%) had ASIA C, 57 (16.96%) had ASIA D and 236 (70.24%) had ASIA E neurology. In the current study, a two-grade and a one-grade improvement was noted in all our patients.

Table 1: Baseline and Clinical Characteristics of study participants.

Baseline and Clinical characteristics	N	P(%)
Mean age (in years)	34.61 ± 12.37	
Gender		
Male	226	67.26
Female	110	32.74
Mechanism of injury		
Fall from height	126	37.5
Road traffic accident (RTA)	132	39.29
Fall for carrying a heavy weight on the head	78	23.21
Type of dislocation		
Bilateral	214	63.69
Unilateral	122	36.31
Level of fracture		
C3/C4	57	16.96
C4/C5	70	20.83
C5/C6	128	38.1
C6/C7	81	24.11
Clinical Characteristics		
Height (cm)	163.5 ± 7.9	
Weight (cm)	67.06 ± 8.92	
Body Mass Index (kg/m ²)	27.50 ± 6.8	
Mean operative time(min)	70.2 ± 19.1	
Mean blood loss (ml)	142.72 ± 82.27	

Table 3 shows the majority (83.04%) of our patients had no complications, followed by 4.17% had dysphagia, less than 3% had recurrent laryngeal nerve palsy, excessive bleeding and nonunion, less than 2% had screw pullout, graft dislodgement, inadequate reduction and less than 1% had C5 palsy and broken screw.

Table 4 shows functional results assessed by NDI scale, 236(70.24%) patients had no disability, 57(16.96%) patients had mild disability, 30(8.93%) patients had moderate disability, and 13(3.87%) patients had severe disability.

Table 2: Comparison of functional and neurological outcomes after ACDF by NDI, VAS, and AIS score.

Functional outcome	Pre-operative	Post-operative		P-value
Neck Disability Index (NDI)	18.7 ± 9.5	10.7 ± 6.8		<0.05
Visual Analog Score (VAS)	7.1 ± 2.4	3.1 ± 1.4		<0.05
Neurological outcome				
ASIA B	100	29.76	13	3.87
ASIA C	144	42.86	30	8.93
ASIA D	92	27.38	57	16.96
ASIA E	0	0	236	70.24

a) ASIA = American Spinal Injury Association; b) AIS= ASIA Impairment Scale

Table 3: Distribution of our study patients by complications

Complications	N	P(%)
Dysphagia	14	4.17
Inadequate reduction	5	1.49
C5 palsy	3	0.89
Excessive bleeding	7	2.08
Recurrent laryngeal nerve palsy	8	2.38
Screw broke	3	0.89
Screw pullout	4	1.19
Graft dislodgement	6	1.79
Nonunion	7	2.08
No complications	279	83.04

Table 4: Functional result assessment by NDI scale.

Final outcome	N	P(%)
No disability	236	70.24
Mild disability	57	16.96
Moderate disability	30	8.93
Severe disability	13	3.87
Complete disability	0	0

Discussion

It is crucial to treat subaxial cervical fracture dislocations properly and promptly. The choice between surgical treatment and a reduction is still up for dispute. Each tactic has advantages and disadvantages. The optimal therapeutic approach for each patient should be chosen by the spine surgeon in order to provide positive outcomes. In this investigation, we decided to treat every case of Subaxial cervical fracture dislocation using the anterior-only method.

In our study majority of patients were 44-53 years old and mean age was 34.61 ± 12.37 years. A study done by Jonayed et al. [15] found the average age was 34.50±11.92 years (16-70 years) which was similar to our study. Abdelgawaad et al. [16] found the mean age 39.1 ± 13.8 years ranged from 17 to 60 years. Most of the patients in our series were adults as compared to Laus et al. [17] and Singhal et al. [18]. Majority (67%) of our patients were male. Jonayed et al. [15] and Abdelgawaad et al. [16] had male predominance with 90.62% and 90.5% respectively. Other studies also showed the majority of young male patients in their studies [17,18].

In the current study, the most common mechanism of injury was RTA (39.29%). Abdelgawaad et al. [16] and Laus et al. [17] also showed that the common cause of injury was RTA (55% and (85%), respectively. On the other hand, Jonayed et al. [15] showed the most common mechanism of injury was a fall while carrying a heavy weight on the head (51.6%), followed by falls from height (29.7%).

The most common level of fracture was C5/C6 was 38.10%, followed by C6/C7 was 24.11%. Similar results were observed by Jonayed et al. [15], which were C5-C6 (57.7%), followed by C6-C7 (26.6%). Singhal et al. [18] found the most involved level of the spine was C5/C6 & C6/C7 which were 53.7% and 28.1% respectively. Laus et al. [17] found the involved vertebra was C5 in seven cases. Abdelgawaad et al. [16] found the most common injury level was at C4-5 (47.6%).

For reduction, we performed GardnerWells traction in all of our cases. None of our patients showed neurologic damage during reduction. In other studies, they had performed awake traction prior to MRI because obtaining an MRI could cause the reduction to be delayed. Sometimes it takes a longer time to do an MRI. So, it was recommended not to postpone reduction for MRI though cervical fracture-dislocation is frequently associated with disc disruption [15,19-21]. However, paralysis secondary to retropulsion fragments is rare. Although up to 22% of patients have been found to have significant disc herniation post-reduction, these do not correlate with neurologic deterioration [20].

In our study, the mean operative time was 70.2 ± 19.1 minutes and mean blood loss was 142.72 ± 82.27 ml. In other studies, the average operational time was 84.25±9.55

and 65.2 ± 15.1 minutes, with a mean blood loss of 112.12 ± 25.27 and 416.7 ± 82.7 ml [15,16]. The anterior method takes less time to perform than the posterior technique, with Kwon et al. [22] reporting a mean operative time of 103 minutes. Yukawa et al. [23] observed similar findings on the posterior route, with a mean operational time of 101 minutes and a mean blood loss of 190 ml. Obviously, the combined approach would take more time as well as would result in higher blood loss. This confirms that the anterior approach, which takes less operative time, is suitable for patients who cannot withstand a long time of anesthesia and for those with neurological impairment, as time is a critical factor for them [15,16]. However, our study shows that the anterior approach may lead to more intraoperative blood loss, compared to posterior approach.

The mean NDI improved to 10.7 ± 6.8 , which showed that most of our patients had mild disability and no disability at all. The mean VAS improved to 3.1 ± 1.4 at the final follow-up which showed majority of our patients could return to their daily life activities. In one study, the mean VAS improved to 2.05 ± 0.98 at the last follow-up, which was statistically significant and similar to our study [15]. In 52 (81.3%) instances, their NDI scoring revealed minimal to no disability [15].

Among all patients, majority (42.86%) had ASIA C, followed by 29.76% had ASIA B, and 27.38% patients had ASIA D. Postoperatively, a two-grade and a one-grade improvement was noted in 59.82% and 36.31% patients respectively. In a study done by Jonayed et al., where two grade improvement was noted in 30 (46.9%) patients, followed by one-grade improvement in 26 (40.63%) patients, and all patients with ASIA A showed no neurological recovery [15]. McAfee et al. [24] observed a shift of ASIA grade 1 in 76% of cases and a change of ASIA grade 2 in 12% of cases. We observed only one case had a transient neuro-deficit that was recovered fully and improved neurologically at the final follow-up, as seen by McAfee et al [24]. It indicates the safety of the single anterior approach.

In the present study, majority (83.04%) of our patients had no complications, followed by 4.17% had dysphagia, less than 3% had recurrent laryngeal nerve palsy, excessive bleeding & nonunion, less than 2% had screw pullout, graft dislodgement, inadequate reduction and less than 1% had C5 palsy & broken screw. A study found 2 (9.5%) patients deteriorated post operation by 1 or 2 grades of AIS; however, both of them improved during late follow-up and 2 (9.5%) patients developed radiculopathy, only 1 case lost reduction and 3 patients (14.3%) complained of persistent dysphagia at late follow-up [16]. Implant failure, graft dislodgement, hematoma, revision, and deformity are uncommon complications [23]. At two weeks, the most common problem was mild dysphagia in 15 (23.4%) patients [15]. In the first

two weeks, the reported incidence was around 71% [20]. Donor site pain was found in five (7.8%) patients and dural injury in three (4.7%) patients [15]. Aronson et al. [25] also reported temporary dysphagia (4.7%) and temporary hoarseness (2.32%) in 100 patients; dura was injured in one (8.3%) patient, which is 11% in Brodke et al [26].

In terms of neurological outcome, there was no significant difference between anterior and posterior techniques compared to the literature data [21,22,27]. The study by Nakashima et al. [28] on posterior approach for cervical fracture-dislocations with traumatic disc herniation showed that 9 of 12 incomplete paralysis patients (75%) showed postoperative improvement by more than 1 grade in their AIS. Brodke et al. [26] also found no significant difference between methods, with 70% and 57% of individuals with poor neurology improving when treated anteriorly and posteriorly, respectively. This is the reason we chose the anterior-only approach to manage the subaxial CSD cases in our study.

Conclusions and Recommendations

In our study, after evaluating our patients by VAS, NDI and ASIA scale we found that a single anterior approach is a safe and effective procedure for subaxial cervical spine dislocation treatment with satisfactory radiological, neurological and functional outcomes. The surgical treatment of subaxial cervical traumatic injuries with anterior reduction, decompression, and fusion is effective in terms of fusion state and patient satisfaction. In comparison to the combined anterior and posterior approach, the anterior-only procedure appears to be less traumatic to patients and takes shorter time. Most subaxial cervical spine dislocations can be minimized using GardnerWells traction and successfully fixed with anterior surgery alone. So, further study with a prospective and longitudinal study design including larger sample size needs to be done to identify more advantages of ACDF to relieve pain & prevent morbidity.

Limitations of the study

We could observe a few complications within our short study period. There are more advantages and disadvantages of performing surgery with single anterior approach on cervical spine dislocated patients' that needs to be evaluated. There is more scoring scale like NDI was not assessed in our study. We evaluated our patients with a maximum 2 year follow up and have not known other possible interference that may happen in the long term with these patients.

Conflict of Interest: None

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